

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|   |   |
|---|---|
| In re application of<br>Hiroshi KATO, et al.<br>Appln. No.: NOT YET ASSIGNED<br>Confirmation No.: NOT YET ASSIGNED<br>Filed: March 26, 2004<br>For: POWER ROLLER BEARING FOR TOROIDAL-TYPE CONTINUOUSLY VARIABLE TRANSMISSION | Docket No: Q80703<br>DIVISIONAL OF USSN: 10/262,833<br>Group Art Unit: NOT YET ASSIGNED<br>Examiner: NOT YET ASSIGNED |
|---|---|

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form which are all the references of record in parent application No. 10/262,833. Applicants are not submitting duplicate copies of these references but requests that they be listed on the face of any patent granted on the above application. (See 37 CFR §1.98(d)). Copies of any cited copending applications, if not previously submitted, are being submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

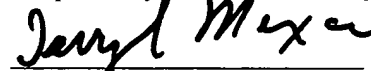
WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: March 26, 2004

Respectfully submitted,



Darryl Mexic  
Registration No. 23,063

|   |   |    |   |                          |                  |
|---|---|----|---|--------------------------|------------------|
| Substitute for Form 1449 A & B/PTO<br><br><b><u>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</u></b><br><br><i>(use as many sheets as necessary)</i> |   |    |   | <i>Complete if Known</i> |                  |
|   |   |    |   | Application Number       | NOT YET ASSIGNED |
|   |   |    |   | Confirmation Number      | NOT YET ASSIGNED |
|   |   |    |   | Filing Date              | March 26, 2004   |
|   |   |    |   | First Named Inventor     | Hiroshi KATO     |
|   |   |    |   | Art Unit                 | NOT YET ASSIGNED |
|   |   |    |   | Examiner Name            | NOT YET ASSIGNED |
| Sheet   | 1 | of | 1 | Attorney Docket Number   | Q80703           |

| U.S. PATENT DOCUMENTS |                       |                 |                                      |                                |   |
|-----------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                       |                       | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                       |                       | US 5,391,126    |                                      | 2/21/1995                      | Fukushima et al.                                |
|                       |                       | US 6,083,137    |                                      | 7/4/2000                       | Kato et al.                                     |
|                       |                       | US 6,368,245    | B1                                   | 4/9/2002                       | Goto et al.                                     |
|                       |                       | US              |                                      |                                |   |
|                       |                       | US              |                                      |                                |   |
|                       |                       | US              |                                      |                                |   |
|                       |                       | US              |                                      |                                |   |
|                       |                       | US              |                                      |                                |   |

| FOREIGN PATENT DOCUMENTS |                       |                           |                     |   |                                |  |                          |
|--------------------------|-----------------------|---------------------------|---------------------|---|--------------------------------|--|--------------------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Translation <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br><i>(if known)</i> |                                |  |                          |
|                          |                       | JP                        | 9-42403             |   | 2/14/1997                      | Nissan Motor Co., Ltd.                             |                          |
|                          |                       | JP                        | 10-141462           |   | 5/29/1998                      | Nissan Motor Co., Ltd.                             |                          |
|                          |                       | JP                        | 10-246301           |   | 9/14/1998                      | Nissan Motor Co., Ltd.                             |                          |
|                          |                       | JP                        | 2001-187951         |   | 7/10/2001                      | Nissan Motor Co., Ltd.                             |                          |
|                          |                       | JP                        | 6-16753             |   | 3/4/1994                       |  |                          |
|                          |                       | JP                        | 11-118011           |   | 4/30/1999                      |  |                          |
|                          |                       | JP                        | 2001-50360          |   | 2/23/2001                      | NSK Ltd.   |                          |
|                          |                       | JP                        | 2001-193813         |   | 7/17/2001                      | NSK Ltd.   |                          |
|                          |                       | JP                        | 2001-254792         |   | 9/21/2001                      | NSK Ltd.   |                          |
|                          |                       | JP                        | 2000-220711         |   | 8/8/2000                       | NSK Ltd.   |                          |
|                          |                       | JP                        | 2002-70974          |   | 3/8/2002                       | NSK Ltd.   |                          |
|                          |                       | JP                        | 2001-4003           |   | 1/9/2001                       |  |                          |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                       |  |                          |
|---|-----------------------|--|--------------------------|
| Examiner Initials*                                | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
|   |                       |  |                          |
|   |                       |  |                          |
|   |                       |  |                          |
|   |                       |  |                          |
|   |                       |  |                          |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.